



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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JRV #4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/336,091 06/18/99 L0461/7063

L0461/7063-J

0242/0813

JOHN R CAN AMSTERDAM  
WOLF GREENFIELD & SACKS PC  
600 ATLANTIC AVENUE  
BOSTON MA 02210



NOT ASSIGNED

1646

DATE MAILED:

08/13/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 3070.00.

- ☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 1062.00 for 59 total claims over 20.

\$ 858.00 for 11 independent claims over 3.

\$ 260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.126, unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

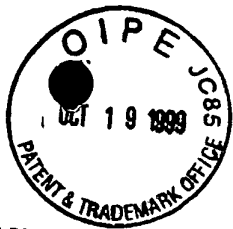
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

K. Nelson

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

10/20/1999 ZABDALLA 00000088 093360  
01 FC:101  
02 FC:105



SECTOR #4

ATTORNEY'S DOCKET NO. L0461/7063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Van Snick et al.  
Serial No: 09/336,091  
Filed: June 18, 1999  
For: MAGE-A1 PEPTIDES PRESENTED BY HLA CLASS II MOLECULES  
Examiner: Unknown  
Art Unit: 1646

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the 13<sup>th</sup> day of October, 1999.

  
Monica E. Zombori

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:


Transmitted herewith are the following documents:

- ☒ Preliminary Amendment in Response to Office Action
- ☒ Copy of Notice to File Missing Parts
- ☒ Fee Calculation Sheet
- ☒ Declaration for Patent Application
- ☒ Check in the amount of \$890.00
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

If the enclosed check is considered insufficient, please charge any additional fee that may be required to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

  
John R. Van Amsterdam, Reg. No. 40,212  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

Docket No. L0461/7063  
October 13, 1999  
x10/13/99

#4

Inventor(s): Van Snick et al.

Serial No: 09/336,091

Filed: June 18, 1999

For: MAGE-A1 PEPTIDES PRESENTED BY HLA CLASS II  
MOLECULES

Attorney Docket No. L0461/7063 (JRV)

CHECK BOX, if applicable:

☐ DUPLICATE

## Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	20-20=	0 x	\$18	= \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3-3=	0 x	\$78	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$760.00
	Fee for Petition for Extension of Time (if any)				\$
				Total of above Calculations =	\$760.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (e.g., Petition for Extension of Time), if any NOTE: Enter small-entity amount if applicable.				\$130.00
	TOTAL =				\$890.00

1. A check in the amount of \$890.00 is enclosed.

## General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR § 1.16, § 1.17 or § 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR § 1.16, § 1.17 or § 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

*John R. Van Amsterdam*  
 John R. Van Amsterdam, Reg. No. 40,212  
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 Attorneys of Record

Docket No. L0461/7063  
 Date: October 13, 1999